| TRA                                                                                   | NSMITTA<br>                                                                                                                                                                                                                                                                                                                                                                  | OSURE STATEMENT<br>(c))                                 | Docket No.<br>57557-013             |                 |  |  |  |  |  |
|---------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|-------------------------------------|-----------------|--|--|--|--|--|
| In Re Application Of: Nathan et al.  SEP 2 0 2001                                     |                                                                                                                                                                                                                                                                                                                                                                              |                                                         |                                     |                 |  |  |  |  |  |
|                                                                                       | Serial No.                                                                                                                                                                                                                                                                                                                                                                   | Filing Date 5/25/01                                     | Examiner                            | Group Art Unit  |  |  |  |  |  |
|                                                                                       | 09/865,966                                                                                                                                                                                                                                                                                                                                                                   | 5/25/01                                                 | Unassigned                          | Unassigned      |  |  |  |  |  |
| Title:                                                                                | Drug-Amin                                                                                                                                                                                                                                                                                                                                                                    | o Acid Sequence Chimeric Molecules                      |                                     |                 |  |  |  |  |  |
| Address to: Assistant Commissioner for Patents Washington, D.C. 20231  37 CFR 1.97(b) |                                                                                                                                                                                                                                                                                                                                                                              |                                                         |                                     |                 |  |  |  |  |  |
| 1. 🛚                                                                                  | The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.             |                                                         |                                     |                 |  |  |  |  |  |
|                                                                                       |                                                                                                                                                                                                                                                                                                                                                                              | 37 C                                                    | FR 1.97(c)                          |                 |  |  |  |  |  |
| 2. 🗆                                                                                  | The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either: |                                                         |                                     |                 |  |  |  |  |  |
| •                                                                                     | 1.                                                                                                                                                                                                                                                                                                                                                                           | a Final Action under 37 CFR 1.1                         | 13, or                              |                 |  |  |  |  |  |
|                                                                                       | 2.                                                                                                                                                                                                                                                                                                                                                                           | a Notice of Allowance under 37                          | CFR 1.311,                          |                 |  |  |  |  |  |
|                                                                                       | whichever occurs first.                                                                                                                                                                                                                                                                                                                                                      |                                                         |                                     |                 |  |  |  |  |  |
|                                                                                       | Also submitted herewith is:                                                                                                                                                                                                                                                                                                                                                  |                                                         |                                     |                 |  |  |  |  |  |
|                                                                                       | ☐ a certification as specified in 37 CFR 1.97(e);                                                                                                                                                                                                                                                                                                                            |                                                         |                                     |                 |  |  |  |  |  |
|                                                                                       | OR                                                                                                                                                                                                                                                                                                                                                                           |                                                         |                                     |                 |  |  |  |  |  |
|                                                                                       |                                                                                                                                                                                                                                                                                                                                                                              | ee set forth in 37 CFR 1.17,(p) for ser 37 CFR 1.97(c). | submission of an Information Disclo | osure Statement |  |  |  |  |  |
|                                                                                       |                                                                                                                                                                                                                                                                                                                                                                              |                                                         |                                     |                 |  |  |  |  |  |

| TRANSMITTAL OF INFORMATION DISCLO<br>(Under 37 CFR 1.97(b) or 1.97                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 1 5 1                                                       | Docket No.<br>57557-013   |  |  |  |  |  |  |  |  |  |
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| In Re Application Of: Nathan et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                             |                           |  |  |  |  |  |  |  |  |  |
| Serial North Filing Date 09/865,966  7RADEMANA 5/25/01                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Examiner<br>Unassigned                                      | Group Art Unit Unassigned |  |  |  |  |  |  |  |  |  |
| Title: Drug-Amino Acid Sequence Chimeric Molecules                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                             |                           |  |  |  |  |  |  |  |  |  |
| Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                             |                           |  |  |  |  |  |  |  |  |  |
| □ A check in the amount of is attached. □ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 5 as described below. A duplicate copy of this sheet is enclosed. □ Charge the amount of \$240.00 □ Credit any overpayment. □ Charge any additional fee required. □ Certificate of Transmission by Facsimile* □ Certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. ) on (Date) □ Signature □ A check in the amount of is attached. □ Charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposit Account No. 5 as described to charge and credit Deposi |                                                             |                           |  |  |  |  |  |  |  |  |  |
| *This certificate may only be used if paying by deposit account.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Typed or Printed Name of Person M  Dated: September 0, 2001 | failing Correspondence    |  |  |  |  |  |  |  |  |  |
| Signature  James E. Eakin, Reg. No. 27,874                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                             |                           |  |  |  |  |  |  |  |  |  |
| McDermott, Will & Emery 2700 Sand Hill Road Menlo Park, CA 94025 Tel: (650) 233-5500                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                             |                           |  |  |  |  |  |  |  |  |  |

CC:

| INFORMATION DISCLOSURE CITATION |           |                                                                         |                       |                  | 57557-013 Applicant(s)                |                     | 09/865,966        |                            |               |
|---------------------------------|-----------|-------------------------------------------------------------------------|-----------------------|------------------|---------------------------------------|---------------------|-------------------|----------------------------|---------------|
|                                 |           | (Use several sheets if weeess                                           | sary)                 |                  | Asher Nathan Filing Date              | · .                 | roup Art Unit     |                            |               |
| SEP 2 0 2001 &                  |           |                                                                         |                       |                  | 5/25/01                               |                     |                   | assigned                   |               |
|                                 |           | E CHOCHEN CE                                                            |                       | U.S. PAT         | ENT DOCUMENTS                         |                     |                   |                            |               |
| *EXAMINER<br>INITIAL            | REF       | DOCUMENT NUMBER                                                         | DOCUMENT NUMBER DATE  |                  | NAME                                  |                     | SUBCLASS          | FILING DATE IF APPROPRIATE |               |
|                                 | A         | 5,843,701                                                               | 12/1/98               | Gold et al.      |                                       |                     |                   |                            |               |
|                                 | В         | 5,622,699                                                               | 4/22/97               | Ruoslahti et al. |                                       |                     |                   |                            |               |
|                                 | С         | 5,773,598                                                               | 6/30/98               | Burke et al.     |                                       |                     |                   |                            |               |
|                                 |           |                                                                         |                       |                  |                                       |                     |                   |                            |               |
|                                 |           |                                                                         |                       |                  | a                                     |                     |                   |                            | <del></del> - |
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|                                 |           |                                                                         | <u> </u>              |                  | <del></del>                           |                     |                   |                            |               |
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|                                 |           |                                                                         |                       |                  |                                       |                     |                   |                            |               |
|                                 |           | <b>.</b>                                                                | ··                    | FOREIG           | N PATENT DOCUMENTS                    |                     |                   | 1                          |               |
| :                               | REF       | DOCUMENT NUMBER                                                         | DATE                  | COUNTRY          |                                       | CLASS               | SUBCLASS          | YES YES                    | NO NO         |
|                                 | AA        | WO 98/34110                                                             | 8/6/98                | PCT              | PCT                                   |                     |                   |                            |               |
|                                 |           |                                                                         |                       |                  |                                       |                     |                   |                            |               |
|                                 |           |                                                                         |                       |                  |                                       |                     |                   |                            |               |
|                                 |           | G. 9                                                                    | <u> </u>              |                  |                                       |                     |                   |                            | . *           |
|                                 |           |                                                                         |                       |                  |                                       |                     |                   |                            |               |
|                                 |           | Doub Maria Aliana                                                       | 110-1                 |                  |                                       | g Author, Title, De |                   |                            |               |
|                                 | ,         | Poul, Maria-Alix et al.,<br>Journal of Molecular B                      | Sciection of Tul      | 00, 301, pp      | ic internalizing Human<br>. 1149-1161 | Antidodies from     | a Phage Laboi     | ratories",                 |               |
|                                 |           |                                                                         |                       |                  |                                       |                     |                   |                            |               |
|                                 |           |                                                                         |                       |                  |                                       |                     | •                 |                            |               |
| EXAMINER                        |           |                                                                         |                       | DATE CONSIDERED  |                                       |                     |                   |                            |               |
| EXAMINE F                       | R: Initia | al if citation considered, whether<br>clude copy of this form with next | or not citation is in | conformano       | ce with MPEP Section 609;             | Draw line throug    | h citation if not | in conform                 | ance and      |
|                                 |           | .,                                                                      |                       |                  | ·                                     |                     |                   |                            |               |